

GALS Methodology for Substrate Noise Reduction in Mixed-Signal Integrated Circuits

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innovations for high performance microelectronics



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Agenda



1	Introduction
2	Switching current spectrum optimization requirements for substrate noise reduction
3	Harmonic balanced partitioning
4	GALS partitioning algorithm for substrate noise reduction
5	Methodology for GALS partitioning
6	Conclusions and future work

Agenda







 $\frac{1}{\text{Gnd}} \stackrel{\perp}{=} \\ output \ transition: 0 \rightarrow 1$



output transition: $1 \rightarrow 0$

Gate switching \rightarrow Current surges in the supply and ground lines (switching current)







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- Switching current → Voltage oscillations on supply and ground lines (supply and ground bounce)





• Ground bounce \rightarrow Voltage fluctuations in the substrate (substrate noise)



- Direct coupling through substrate contacts → dominant coupling mechanism
- This noise further propagates to the outputs of analog circuits, impacting the performance



A single gate doesn't produce a lot of ground bounce...





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- ... but the system has a huge number of simultaneously switching gates





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Noise generation is, to a large extent, a system level effect, and thus can be addressed at system level



- Spreading the switching activity in synchronous circuits
 - Introducing the intentional clock skew
 - Phase modulation of the clock
 - Pseudo-random clocking



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- Asynchronous design approach
- GALS design approach
 - "GALS design for spectral peak attenuation of switching current" [10]

[10] Xin Fan, Oliver Schrape, Miroslav Marinkovic, Peter Dähnert, Milos Krstic, and Eckhard Grass, "GALS design for spectral peak attenuation of switching current," Proc. of 19th IEEE International Symposium on Asynchronous Circuits and Systems (ASYNC), pp. 83-90, Santa Monica, CA (USA) 2013.

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 - Switching current spectrum for a synchronous system:
 - Discrete peaks
 - Continuous noise floor



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 - Each synchronous peak replaced by *M* GALS peaks



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 - Each synchronous peak replaced by *M* GALS peaks
 - Plesiochronous clocking scheme with power-balanced partitioning
 - Spectral peak attenuation at the fundamental:

$$SPA_{dB} = 20\log\frac{A_{1,sync}}{\max\{A_{1m,GALS}\}} = 20\log M$$



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- The goal of this work:
 - Provide a corresponding methodology for substrate noise suppression

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Switching current and substrate noise spectra



- Switching current spectrum ($F{i_{sw}}$):
 - Fundamental is the dominant component \rightarrow targeted by power balancing [10]

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Substrate noise spectrum ($F{v_{sub}}$):

$$F\{v_{sub}\} = H_{sub} F\{v_{gb}\} = H_{sub}H_p F\{i_{sw}\} = H F\{i_{sw}\}$$

 $F\{v_{gb}\}$ – ground bounce spectrum

 $m{H}_{sub}$ – substrate transfer function (from aggressor substrate contacts to the victim)

 $F{i_{sw}}$ – switching current spectrum

 H_p – package & PDN transfer function (from switching current to ground bounce)

H – total package & PDN and substrate transfer function (from switching current to the victim)

• *H* usually pass-band \rightarrow dominant components at higher frequency







- Spectrum optimization goal:
 - The components dominantly impacting the functionality of analog blocks
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- Sensitivity of different analog modules on substrate noise:
 - VCO:
 - Low frequency harmonics can form intermodulation products with an oscillator frequency and form spures in output spectrum → low frequencies are critical
 - LNA:
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 - LNA:
 - Mostly the in-band noise corrupts the performance \rightarrow high frequencies are critical
- Usually more than one type of analog modules on the chip
- Requirements:
 - Noise suppression at higher frequencies
 - Possibility of frequency selective noise suppression covering multiple frequency bands

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 - Maintains the processing capability of each of the LSMs
 - Predictability
 - Substrate transfer function *H* has different values at different frequencies
 - For close frequencies, the difference is values of **H** is negligible

 $F_n\{v_{subm}\} = H_{nm} F_n\{i_m\}$, $F_n\{v_{sub}\} = H_n F_n\{i\}$

same power domain for all the partitions + plesiochronous desing $\rightarrow H_{n1} \approx H_{n2} \approx \cdots \approx H_{nm} \approx H_n$



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 - switching current peak attenuation = substrate noise peak attenuation

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same power domain for all the partitions + plesiochronous desing \rightarrow \rightarrow $H_{n1} \approx H_{n2} \approx \cdots \approx H_{nm} \approx H_n$

$$SPA_{dB} = 20\log \frac{|F_n\{v_{sub}\}|}{\max\{|F_n\{v_{subm}\}|\}} \approx 20\log \frac{|F_n\{i\}|}{\max\{|F_n\{i_m\}|\}}$$



Spectral peak attenuation:

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For a synchronous system:

$$i(t) = \sum_{m=1}^{M} i_m(t) \qquad \Longrightarrow \qquad F_n\{i\} = \sum_{m=1}^{M} F_n\{i_m\}$$

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b-tu ibp

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- Optimal partitioning:
 - Group the blocks into partitions so that the harmonics aimed for reduction be the same for each of the partitions

$$F_n\{i_1\} = F_n\{i_2\} = \dots = F_n\{i_M\} = \frac{F_n\{i\}}{M} \implies \max\{SPA_{dB}\} = 20 \log M$$



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- Analysis of the impact of that approximation:
 - Switching current modeled as a periodic triangular pulse
 - Spectrum calculated by applying a nominal frequency, and a frequency with a small offset





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Harmonic balanced plesiochronous design for substrate noise reduction

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Spectrum calculated by applying a nominal frequency, and a frequency with a small offset

- Analysis of the impact of that approximation:
 - Switching current modeled as a periodic triangular pulse
 - switching current spectrum envelope
 - error in dB, 1% frequency offset

error in dB, 3% frequency offset

error in dB, 5% frequency offset

May 10, 2016







Harmonic balanced plesiochronous design for substrate noise reduction



- Analysis of the impact of plesiochronous approximation continuation:
 - Harmonic balancing applied at all frequencies, attenuation calculated



- synchronous system -
- spectrum envelope

attenuation calculated by applying the plesiochronous approximation

attenuation calculated without applying the plesiochronous approximation

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- three blocks

- two partitions

- one harmonic

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Example:

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- two partitions
- two harmonics, p^{th} and q^{th}







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bc

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bc

nth

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 $a \ b \ c$

or

Example: - three blocks - two partitions - one harmonic



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Example:

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- two harmonics, p^{th} and q^{th}

Find the partitioning which is the closest to the perfect harmonic balancing



or

ath





- b-tu ibp
- For searching through combinations, the simulated annealing algorithm was used
 - Chose initial combination

Example: blocks: **abcdefgh** initial combination: {**acfh**} {**bdeg**}

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- Pick a random "neighboring combination"



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 - Least total harmonics power
 - Least mean square difference to the theoretically "perfect partitioning"
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- Cost function to decide whether the combination is better or worse
 - Least total harmonics power
 - Least mean square difference to the theoretically "perfect partitioning"
 - Least power of the strongest harmonic
- Calculate the cost function only for the frequency band(s) targeted for optimization
 Frequency selective attenuation



in practice



b-tu bp

Notes:

in practice



b-tu inp

Notes:

in practice





Notes:

in practice



b-tu ip

Notes:

in practice



b-tu bp

Notes:

in practice



b-tu bp

Notes:

Numerical evaluation of the methodology in MATLAB

b-tu ibp

- Block waveforms: periodic triangular pulses
- Random rise times (range: 0-20% T_{sclk}) and fall times (range: 0-80% T_{sclk})
- Random current peak (range: 0 1 mA)
- 40 blocks, 5 partitions (theoretically maximum attenuation: 13.98 dB)
- Frequency offsets for the partitions: -4%, -2%, 1%, 3%, 5%

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- Frequency offsets for the partitions: -4%, -2%, 1%, 3%, 5%
- Optimization on all the harmonics:



spectrum envelopes: red dotted – synchronous red – GALS, "perfect" partitioning green – GALS

spectral peak attenuation



-60

in MATLAB

-120

10⁸

15

10

5

0

-5 10⁸ spectrum envelopes: red dotted – synchronous red – GALS, "perfect" partitioning green – GALS

spectral peak attenuation

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10⁹

X: 2.1e+09

Y: 13.4

10¹⁰

10¹⁰

X: 2.5e+09

Y: 11.97

Numerical evaluation of the methodology



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Numerical evaluation of the methodology in MATLAB

Optimization in [1.5 GHz – 2.5 GHz] and [5 GHz – 5.5 GHz] frequency bands:

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May 10, 2016





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EMIAS – A CAD tool for supporting

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Application on a realistic design example



- Intended for use as a part of wireless sensor network
- LEON2 32-bit microprocessor and three accelerator cores for cryptographic operations (SHA-1, AES and ECC)
- Hierarchical netlist: 21 block
- Optimization bands:
 - The first harmonic (50 MHz) and GSM-850 band (800 MHz, 850 MHz, 900 MHz)
- 5 LSMs, frequency offsets: 2.56%, 1.26%, 0%, -1.24% and -2.44%

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- Attenuation achieved:
 - ~7 dB for the strongest components, less for the weaker components



synchronous system

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Conclusions and future work



- A GALS methodology for substrate noise reduction presented, based on harmonic balanced partitioning scheme
 - Use switching current profiles of design subcomponents
 - Calculate the spectra
 - Assign the design subcomponents to partitions such that all harmonics in the targeted frequency band are as equal as possible among the partitions
- Theoretical maximum for spectral peak attenuation:
 - 20 log *M* for *M* partitions

Conclusions and future work



- A GALS methodology for substrate noise reduction presented, based on harmonic balanced partitioning scheme
 - Use switching current profiles of design subcomponents
 - Calculate the spectra
 - Assign the design subcomponents to partitions such that all harmonics in the targeted frequency band are as equal as possible among the partitions
- Theoretical maximum for spectral peak attenuation:
 - 20 log M for M partitions
- Future work
 - Further automatization of the implemented low noise methodology
 - \rightarrow Automate the asynchronous wrapper integration phase
 - Fabricate a test chip to demonstrate the methodology



Thank you for your attention!

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Appendix 1: Partitioning of the design example

b-tu bp

Table I. Harmonic peaks and harmonic power attenuations in dB

Harmonic number	1	16	17	18
Harm. frequency for the sync. system (MHz)	50	800	850	900
Synchronous system (50 MHz)	42.26	41.98	20.16	41.68
Partition 0 (51.28 MHz)	-9.42	-7.31	-9.60	-3.83
Partition 1 (50.63 MHz)	37.74	34.90	17.72	32.62
Partition 2 (50.00 MHz)	25.08	34.57	9.20	34.16
Partition 3 (49.38 MHz)	10.68	14.29	-5.47	13.81
Partition 4 (48.78 MHz)	-36.21	-6.82	-18.87	-3.29
GALS system	37.74	34.90	17.72	34.16
Harmonic peak attenuation	4.52	7.08	2.44	7.52

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Harmonic peak attenuation	4.52	7.08	2.44	7.52







• Ground bounce \rightarrow Voltage fluctuations in the substrate (substrate noise)



Direct coupling through substrate contacts





- Direct coupling through substrate contacts
- Other substrate noise sources:
 - Capacitive coupling through S/D junction capacitances





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- Direct coupling through substrate contacts → dominant coupling mechanism
- Other substrate noise sources:
 - Capacitive coupling through S/D junction capacitances
 - Impact ionization in the channel
 - Coupling from the NWell





- Injection to analog circuits
 - Direct injection through substrate contacts
 - Body effect
 - Capacitive coupling through S/D junction capacitances
- This noise further propagates to the outputs of analog circuits, impacting the performance

Appendix 3: Harmonic balanced plesiochronous design

in practice





Notes:

 a_p – acceptance probability of the new partitioning T – "temperature", a parameter which gets reduced at each iteration $0 \le \text{rand} \le 1$ $\alpha < 1$

Probabilistic – doesn't allways output the same combinations, especially if many combinations have a similar value of cost function

A3/1



Spectral peak attenuation:

$$i(t) = \sum_{m=1}^{M} i_m(t) \qquad \Longrightarrow \qquad F_n\{i\} = \sum_{m=1}^{M} F_n\{i_m\} \qquad \qquad \bigvee \qquad \text{valid for a synchronous design,} \\ approximately also valid \\ for a plesiochronous design \end{cases}$$

 $F_n{i} - n^{th}$ harmonic of the switching current spectrum for the synchronous system $F_n{i_m} - n^{th}$ harmonic of the switching current spectrum for the mth partition of the system

b-tu ibp

Spectral peak attenuation:



 $F_n\{v_{sub}\} - n^{th}$ harmonic of the substrate noise spectrum for the synchronous system $F_n\{v_{subm}\} - n^{th}$ harmonic of the substrate noise spectrum for the m^{th} partition of the system

b-tu ibp

Spectral peak attenuation:

$$i(t) = \sum_{m=1}^{M} i_m(t) \longrightarrow F_n\{i\} = \sum_{m=1}^{M} F_n\{i_m\}$$

$$v_{sub}(t) = \sum_{m=1}^{M} v_{subm}(t) \longrightarrow F_n\{v_{sub}\} = \sum_{m=1}^{M} F_n\{v_{subm}\}$$

$$F_n\{v_{subm}\} = H_{nm}F_n\{i_m\}$$

$$H_{n1} \approx H_{n2} \approx \dots \approx H_{nM} \approx H_n$$

$$F_n\{v_{sub}\} = H_nF_n\{i\} = H_nF_n\{i\}$$

 $m{H}_n$ – the value of substrate transfer function at the frequency of the synchronous system

 H_{nm} – the value of substrate transfer function at the frequency of the m^{th} partition of the system

b-tu ibp

Spectral peak attenuation:

$$i(t) = \sum_{m=1}^{M} i_m(t) \qquad \longrightarrow \qquad F_n\{i\} = \sum_{m=1}^{M} F_n\{i_m\}$$

$$v_{sub}(t) = \sum_{m=1}^{M} v_{subm}(t) \qquad \longrightarrow \qquad F_n\{v_{sub}\} = \sum_{m=1}^{M} F_n\{v_{subm}\}$$

$$F_n\{v_{subm}\} = H_{nm}F_n\{i_m\}$$

$$H_{n1} \approx H_{n2} \approx \dots \approx H_{nM} \approx H_n \qquad \qquad F_n\{v_{sub}\} = H_nF_n\{i\} = H_n\sum_{m=1}^{M} F_n\{i_m\}$$

$$SPA_{dB} = 20 \log \frac{|F_n\{v_{subm}\}|}{\max\{|F_n\{v_{submm}\}|\}}$$

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b-tu ibp

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Spectral peak attenuation:

- Optimal partitioning:
 - Group the blocks into partitions so that the harmonics aimed for reduction be the same for each of the partitions

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