**PONTIFICAL CATHOLIC UNIVERSITY OF RIO GRANDE DO SUL FACULTY OF ENGINEERING AND FACULTY OF INFORMATICS COMPUTER ENGINEERING UNDERGRADUATE PROGRAM**

## **ARV: TOWARDS AN ASYNCHRONOUS IMPLEMENTATION OF THE RISC-V ARCHITECTURE**

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For my family, whom without their support this work would not be possible.

"If you think education is expensive, try ignorance" (Robert Orben)

# **ARV: EM DIREÇÃO A UMA IMPLEMENTAÇÃO ASSÍNCRONA DA ARQUITETURA RISC-V**

### **RESUMO**

Processadores são excelentes candidatos para tirar proveito das características de circuitos assíncronos. São circuitos complexos cujo caminho ativo é altamente dependente do fluxo de instruções. Processadores assíncronos implementados em tecnologias QDI podem ser vantajosos em uma gama de condições.

Este trabalho discute e propõe um modelo de alto nível funcional de um processador RISC-V assíncrono utilizando a linguagem de programação Go, uma linguagem moderna desenvolvida pelo Google que incorpora princípios de modelagem derivadas do paradigma de Processos Sequenciais Comunicantes. Até onde o autor sabe, esta é a primeira implementação assíncrona da arquitetura RISC-V e o primeiro uso da linguagem Go como uma linguagem de descrição de hardware.

O projeto e o modelo de alto nível do processador ARV em Go provaram que a linguagem é adequada para modelar circuitos complexos baseados em canais de *handshake*. O ambiente de software provido pela linguagem auxiliou na depuração do projeto. O Autor acredita que as vantagens de uso de uma linguagem de alto nível para validação justificam o uso da linguagem de programação Go como uma linguagem de descrição de hardware.

**Palavras-Chave:** Go, modelo de alto nível, circuitos assíncronos, projeto assíncrono, RISC-V, arquitetura, processador.

# **ARV: TOWARDS AN ASYNCHRONOUS IMPLEMENTATION OF THE RISC-V ARCHITECTURE**

### **ABSTRACT**

Processors are excellent candidates to take advantage of asynchronous circuit technology. They are complex circuits which active paths are highly dependent on the instruction flow. Asynchronous processors implemented in QDI technology can be advantageous in a variety of conditions.

This work discusses and proposes a functional high level model of an asynchronous RISC-V processor using the Go programming language, a modern language designed by Google and that incorporates modelling principles derived from the Communicating Sequential Processes paradigm. As far as the Author knows, this is both the first asynchronous RISC-V implementation and the first use of Go as a hardware description language.

The design and high-level model of ARV in Go have proved the language is adequate to model complex handshake channel-based circuits. The software environment provided by the language aided debugging the design. The Author believes the advantages in validation of using a high level language justify the use of the Go programming language as a hardware description language.

**Keywords:** Go, high-level model, asynchronous circuit, asynchronous design, RISC-V, architecture, processor.

# **LIST OF FIGURES**



## **LIST OF ACRONYMS**

- [ARM Advanced RISC Machine](#page-8-0)
- [ARV Asynchronous RISC-V](#page-8-0)
- [BD Bundled Data](#page-8-0)
- [BOOM Berkeley Out of Order Machine](#page-8-0)
- [CAD Computer Aided Design](#page-8-0)
- [CAM Caltech Asynchronous Machine](#page-8-0)
- [CISC Complex Instruction Set Computer](#page-8-0)
- [CL Control Loop](#page-8-0)
- [CMOS Complementary Metal Oxide Semiconductor](#page-8-0)
- [CSP Communicating Sequential Processes](#page-8-0)
- [DL Datapath Loop](#page-8-0)
- [HDL Hardware Description Language](#page-8-0)
- [IOT Internet of Things](#page-8-0)
- [ISA Instruction Set Architecture](#page-8-0)
- [MIPS Microprocessor without Interlock Pipeline Stages](#page-8-0)
- [MIPS Millions Instructions Per Second](#page-8-0)
- [MMU Memory Management Unit](#page-8-0)
- [NOP No OPeration](#page-8-0)
- [NPC Next Program Counter](#page-8-0)
- [OS Operating System](#page-8-0)
- [PC Program Counter](#page-8-0)
- [PCHB PreCharged Half Buffer](#page-8-0)
- [QDI Quasi Delay Insensitive](#page-8-0)
- [RISC Reduced Instruction Set Computer](#page-8-0)
- [RLL Register Locking Loop](#page-8-0)
- [RTL Register Transfer Level](#page-8-0)
- [RV32I RISC-V 32-bit Integer Architecture](#page-8-0)
- [SOC System on Chip](#page-8-0)
- [SOI Silicon on Insulator](#page-8-0)
- [SPARC Scalable Processor ARChitecture](#page-8-0)
- [SPICE Simulation Program with Integrated Circuit Emphasis](#page-8-0)
- [SRAM Static Random Access Memory](#page-8-0)

[TLB – Translation Lookaside Buffer](#page-8-0)

[VLSI – Very Large Scale Integration](#page-8-0)

[WCHB – Weak-Conditioned Half Buffer](#page-8-0)

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## <span id="page-10-0"></span>**1. INTRODUCTION AND MOTIVATION**

For years, processors have been the building blocks of the information age. The flexibility and versatility provided by the abstraction they provide are essential to face the ever increasing complexity of today's problems. Since the dawn of the computer age, processors ability to manipulate data proved useful for a huge variety of tasks.

Processors abstraction comes from the fact that although the processor's physical structure never changes, its functionality does depend on the sequence of instructions fed into it. The sequence of instructions controlling the processor is called a *program* and its instructions are defined in the so-called *Instruction Set Architecture* or ISA. An ISA is a language used to communicate with hardware, a processor is able to execute a program when if it recognises the instructions it is made up, i.e. if it implements the instruction set.

Most ISAs are proprietary. Those designing a processor that produces such architectures are bound to pay royalties to the patent holders, which inhibits innovation and creates barriers to market and research [\[AP14\]](#page-43-1). Open standards, on the other hand, create rich environments that flourish with innovation, in which multiple parties can compete, grow and thrive around a common technology [\[AP14\]](#page-43-1).

RISC-V, a modern, open and extensible instruction set architecture was envisioned to be a standard open instruction set. Unlike other open ISAs, like SPARC and OpenRISC, RISC-V was designed to be simple, flexible and extensible, allowing a wide range of applications, from the smallest Internet of Things (or IoT) microcontroller to the largest multithread data warehouse processor.

Asynchronous circuits may provide advantages over synchronous circuits in several applications. They are self-clocked, meaning that they do not require an external clock signal for synchronisation. Instead synchronisation is performed using local handshake channels between storage elements in the circuit. Since synchronisation is local, the operation delay is dependent only on the active path delays instead of the worst case of the whole data path, yielding true operational delay and not a worst case delay.

There are two major families of asynchronous circuit design techniques, *quasidelay-insensitive* (or QDI) and *bundled data* (or BD). QDI circuits show robustness to process variation, ageing and as such enable a wider range of operating conditions, which increases circuit reliability at the expense of silicon area. BD design approaches may perform faster using less area. The main difference compared to synchronous circuits are clock elimination and possible power savings.

### <span id="page-11-0"></span>**1.1 Work goals**

Processors are excellent candidates to take advantage of asynchronous circuit technology. They are complex circuits which active paths are highly dependent on the instruction flow. Asynchronous processors implemented in QDI technology can be advantageous in a variety of conditions.

Modelling a processor is an important design step. This no different if the design targets an asynchronous implementation of a processor. The technology used to model asynchronous circuits should typically reflect the behaviour of handshake channels. Asynchronous circuits have traditionally been modelled at higher levels using message passing concurrent programming from the Communicating Sequential Processes or *CSP* family [\[Hoa85\]](#page-44-0).

This work discusses and proposes a functional high level model of an asynchronous RISC-V processor using the Go language, a modern programming language designed by Google and that incorporates modelling principles derived from CSP. As far as the Author knows, this is both the first asynchronous RISC-V and the first use of Go as a hardware description language.

## <span id="page-12-0"></span>**2. BACKGROUND**

This Chapter provides an introduction on topics required to understand this work: Section [2.1](#page-12-1) introduces the RISC-V *Instruction Set Architecture* or ISA; Section [2.2](#page-13-0) provides an overview of asynchronous circuit design, highlighting differences from traditional synchronous design and providing a notion on the alternative families of techniques employed to design it; Section [2.3.1](#page-16-0) introduces the Go programming language, with especial focus on its use as a high-level hardware description language (HDL).

### <span id="page-12-1"></span>**2.1 RISC-V Instruction Set Architecture**

During the early years of computing, before the advent of VLSI circuits, memory and logic were both slow and expensive resources. Software had to be coded with fewer, denser instructions and processors often relied on microcode to decode and execute these instructions in multiple steps, taking multiple cycles to execute a complex instruction. Often, these instructions involved memory to memory operations and high level language constructs implemented directly in the architecture.

As Patterson and Ditzel stated [\[PD80\]](#page-45-0), in modern VLSI technologies simpler instructions are executed faster, allowing the construction of pipelines and use of instruction caches, resulting in overall improved performance. This led to the definition of the Reduced Instruction Set Computer (RISC) strategy, moving complexity from hardware to software, as an alternative to what became known as Complex Instruction Set Computers (CISCs).

The RISC strategy is defined by the use of a set of simple instructions with the following characteristics: (i) instructions do not operate on data from memory, instead they rely on explicit memory access instructions to move data between the processor registers and memory, working only over data in registers instead; (ii) instruction encoding is simple, often of fixed length; (iii) instructions perform only simple, generic tasks. Complex, high level operations such as loop control, routine calling and stack management are performed in software.

Most ISAs are proprietary. System design houses implementing such architectures are often bound to pay royalties to the patent holders, which inhibits innovation and creates barriers to market and research. On the other hand, open standards create rich environments that flourish with innovation, in which multiple parties can compete, grow and thrive around a common technology [\[AP14\]](#page-43-1). RISC-V, a modern, open and extensible instruction set architecture designed at the Berkeley University was envisioned to be an open standard ISA.

Different from other open ISAs, like SPARC and OpenRISC, RISC-V aims at being simple, flexible and extensible, generic enough to be scalable for a huge variety of applications, from the smallest IoT microcontroller to the largest many-thread data warehouse processor. RISC-V achieves this by defining a mandatory basic set of instructions and several extensions.

RISC-V also defines an instruction encoding that allows variable-length instructions, breaking from the most traditional RISC approach, with a minimal length of 16 bits and 16-bit increments. This enables further extensions to the instruction set, eventually overcoming limitations imposed on the number of available opcodes by fixed-length instruction processors.

Defined in "The RISC-V Instruction Set Manual" [\[WLPA16\]](#page-45-1), the basic instruction set is a classical RISC load-and-store architecture with a 32-register bank, register-to-register operations, explicit memory access and compare-and-branch instructions.

The basic (mandatory) instruction set defines 47 32-bit integer operations, where all instructions are 32-bit long. This set can be extended to support 64-bit and 128-bit instructions without breaking the compatibility, by increasing the register bank width and including appropriate instructions to load and store larger data values from memory.

It is also possible to extend the architecture in functionality, with instructions to perform hardware multiplication, atomic synchronisation for multiple threads, instruction stream compression with 16-bit length instruction encoding, and floating-point instructions. Further extensions are possible, enabling custom-application specific accelerators to be tightly coupled into the processor core. This provides performance boost and power saving in application-aware designs.

Three RISC-V reference implementations are provided in the Rocket Chip Generator [\[AAB](#page-43-2)<sup>+</sup>16], a parameterisable SoC Generator written in the Chisel language. These implementations are reviewed in Section [3.2.1.](#page-23-1) Several other RISC-V implementations have been proposed in recent years, some of which are briefly described in Section [3.2.](#page-22-1)

### <span id="page-13-0"></span>**2.2 Asynchronous Circuit Design**

Synchronous circuits rely on clock signals that provide a discrete common time reference and delay estimations to ensure correct operation. Asynchronous circuits, on the other hand, are digital circuits with no such discrete common time reference. Instead, in an asynchronous design correct operation is accomplished using explicit handshake between communicating entities [\[SF01\]](#page-45-2).

Local handshake is used to signal when new data is ready and to signal back when data can change after being received. Essentially, a handshake takes places in two steps:

(i) An element announces data availability, by issuing a request to the consumer; (ii) when ready, the consumer party acknowledges the request, storing the data and replying with an acknowledge signal. If these two steps are effectively implemented in this manner, this is called a *two-phase handshake protocol*. Subsequent data can immediately be sent after the request is acknowledged. Another protocol that is also frequently used is the *four-phase handshake protocol*, in which the request and acknowledge signals need to be reset in some order before new data can be made available [\[SF01\]](#page-45-2).

The use of a handshake protocol between storage elements form what is called a *handshake channel*. *Logic elements* intended to transform data must be transparent to the handshake mechanism. The combination of storage and logic elements forms a *logical stage*. Logical stages are chained using channels to form a *pipeline* in which *tokens* flow in *wavefronts* carrying data. There are different techniques to implement several variations of 2-phase or 4-phase handshake protocols.

A pipeline with feedback is called a *loop*. Loops can be used to store information and perform iterative computation. Closed loops must have *bubbles* to allow token propagation, meaning that the number of tokens must be at most *n*−1 for 2-phase or  $\frac{n-1}{2}$  for 4-phase handshakes in an *n*-stage loop.

The next Sections depict the two main families of asynchronous circuit design techniques. These techniques usually imply a choice of a communication handshake protocol as well as the selection of some data encoding scheme to employ.

### <span id="page-14-0"></span>2.2.1 Bundled Data (BD) Design

In this family of asynchronous circuit design techniques, the combinational logic can be implemented in a way similar to a synchronous counterpart; a request line is delaymatched with the data channel, ensuring that when a request arrives data at the receiving element input is valid.

Storage elements are usually implemented using latches, controlled by local handshake protocol controllers. On a two-stage protocol this controller is often edge-sensitive, with a transition indicating activation of the request or acknowledgement, while on a fourstage protocol it is often level-sensitive.

It is worth noting that while the BD approach eliminates clock and the notion of discrete time, this technique heavily relies on timing assumptions on signal propagation over channels.

#### <span id="page-15-0"></span>2.2.2 Quasi Delay Insensitive (QDI) Design

Delay insensitive (DI) circuits are a family of asynchronous circuits (and an associated asynchronous design template) which eliminates channel timing assumptions, by creating logically correct systems that do not present transitory invalid values.

This is achieved using a delay insensitive (DI) data encoding [\[Ver88\]](#page-45-3). DI codes are codes where no codeword can be contained in another codeword. Depending on the employed communication protocol, it may happen that an additional *invalid* codeword is used to separate valid codewords, in what is known as a *spacer*. Some four-phase protocols employ spacers to reset the path before the next valid codeword is issued. In these protocols this certifies exactly when a valid codeword is received, it is known to be complete.

Logic elements designed for DI codes are *logically complete*, meaning that they only yield results once all inputs are detected to be valid. It is also important to mention that logic elements generate only valid DI encoded data. In this scenario, the explicit request signal used in BD designs is not required, as completeness is guaranteed whenever valid encoded data is yielded.

Unfortunately, the family of implementable truly DI circuits is quite limited [\[Mar90\]](#page-44-1). QDI design expands the class of practical circuits with the property of delay insensitivity that can be implemented, by reinforcing timing assumptions only in a limited number of wire forks, where the correctness of an output may rely upon the forked signal being available simultaneously in all of its destinations. Except for those sensitive wire forks, QDI circuits are insensitive to gate and wire delays. If the forks in question behave as expected, they are called *isochronic*.

An advantage of DI circuits that is also retained to a great extent in QDI circuits is their robustness against delay variations, ageing and process variations, due to their selftiming characteristic.

### <span id="page-15-1"></span>**2.3 High-Level design of asynchronous circuits**

Martin et al. in [\[MBL](#page-44-2)+89] advised the use of a code transformation approach on the design of asynchronous circuits: (i) first a high-level set of concurrent process communicating by message passing is designed; (ii) then, processes are transformed into a circuit description (e.g. a gate netlist); (iii) the circuit description is then used in a VLSI flow of choice.

The high-level modelling of asynchronous circuits is usually performed using one of several available concurrent communicating processes languages. The basis for several of these languages is the formalism proposed by C. A. R. Hoare known as Communicating

Sequential Processes or CSP [\[Hoa85,](#page-44-0) [Hoa78\]](#page-44-3). Since asynchronous circuits are highly concurrent and communication between components is based on handshake channels, CSP provides a formally better representation of asynchronous circuits than traditional HDL languages like Verilog and VHDL, both deemed primarily to describe synchronous hardware.

Message passing concurrent programming abstracts the handshake protocol details, allowing behavioural validation and optimisation of complex asynchronous constructs, and enabling the detection and elimination of deadlock conditions early in the design phase. Also, the overall correctness of the design can be achieved in the first design steps.

Languages like Tangram [\[vBKR](#page-45-4)<sup>+</sup>91] and Balsa [\[EB02\]](#page-43-3) provide automatic translation from a CSP-like representation to circuits implementing handshake channels. In this work, a high-level model was chosen for validation purposes. The next Section introduces the use of Go, a programming language with concurrent primitives, as a modelling language for asynchronous circuits.

### <span id="page-16-0"></span>2.3.1 High Level Hardware Modelling in the Go Language

Go is a new programming language developed by Google. Its designers were Robert Pike, Ken Thompson and others. Its first version (Go 1) was introduced in March, 2012 [\[Goo12\]](#page-44-4). This is a compiled, structured, strongly typed, imperative language that implements concurrency primitives based on CSP [\[PP16\]](#page-45-5). The CSP-like concurrency features implemented in Go make it part of the CSP family of languages. Accordingly, Go constitutes an interesting candidate to high-level modelling of asynchronous circuits.

Concurrency is built into Go using two primitives: Goroutines and Channels. Goroutines are a form of lightweight threads sharing the program address space managed by the Go runtime environment, while channels are typed conduits in which data can be sent and received as messages. Using the channel abstraction, Go provides synchronisation of concurrent threads without explicit locks or condition variables.

The blocking channel synchronisation mechanism implies that a send-receive pair of goroutines assume the following states: (i) if neither sender or receiver operates on the channel, both goroutines are free to execute; (ii) if the sender goroutine wrote something on the channel and the receiver has not yet read it, the sender is blocked until the receiver reads the channel; (iii) if the receiver reads the channel and the sender has not written yet, the receiver blocks until the senders writes to the channel; (iv) if both sender and receiver have operated on the channel, the data is transferred from the sender to the receiver and both are released to continue their concurrent execution. By default, sending and receiving messages on channels blocks the execution of goroutines. Non-blocking channels are possible by using buffered sends and conditional reading. However, this is not a feature coming from the CSP formalism.

The locking channel primitive provides synchronisation analogous to fully buffered handshake channels. Goroutines concurrency is analogous to pipelining parallelism; messages are analogous to tokens flowing in a pipeline carrying data.

An asynchronous pipeline stage is modelled in Go using a goroutine performing the following steps in loop: (i) read message from the input channel, optionally blocking execution until the sender places a message on the channel; (ii) perform data transformation on the input; (iii) writes data to the output channel, optionally blocking until the receiver reads from the channel. A stage modelled in this manner implies a storage element, due to the blocking model for sending data through a channel. An initial condition can be placed in the implicit storage elements at reset time, by sending information to the output channels of logical stages before entering the described loop (see below the *s*1 < −0 command in Stage 0 of Figure [2.1\)](#page-17-0).

The rest of this Section explores a few common asynchronous structures modelled in Go.

Figure [2.1](#page-17-0) presents a simple asynchronous counter as a loop, detailing the goroutines implementation of each stage. Stage 0 initialises the counter, writing 0 to channel *s1*. Stage 1 receives a value from channel *s1* and writes it to channels *s0* and *s2* before reading the next value from channel *s1*. Stage 0 receives data from *s0*, increments the value received and sends the incremented value to channel *s1*. Stage 2 receives from *s2* and prints on the screen before reading the next value.



<span id="page-17-0"></span>

Figure [2.2](#page-18-0) introduces the use of blocking conditional reading, where the goroutine blocks until one of the input channels is available. Once data becomes available on a channel, the goroutine unblocks and reads data from one of the available channels. The code block associated with the selected *case* is then executed. If multiple channels become available simultaneously, an available channel is randomly selected to provide information to the data signal. The *select* statement operates on a single input channel at a time. Unse-



<span id="page-18-0"></span>Figure 2.2 – Asynchronous merge component described in Go. The code uses a *select* statement to listen on multiple channels.

lected available channels are ignored and waiting senders remain blocked until the channel is successfully read.

Another use of conditional reading is to create non-blocking input channels, which allows the stage to operate despite the completion of an input. This behaviour can be observed in Figure [2.3.](#page-19-0) This is a model that could be used to describe a behaviour of a counter with parallel load or set command. Here, the *select* statement in Stage 0 receives data from channel *set* only if data is available, directing it to the output channel *s1*. When set is not active, the *select* statement executes the *default* clause, incrementing the value received from channel *s0* and writing the result to channel *s1*. Channels presenting the behaviour described for *set* are named in the scope of this work as *uncoupled channels* and are denoted by a dashed line in diagrams.

The circuit shown in Figure [2.4](#page-19-1) implements a 3-stage reordering buffer by using two buffered multiplexers and three transparent buffer stages. The stages are the following: (i) the first stage is a fan-out 1-to-3 demultiplexer; (ii) each of the first stage outputs connects to a buffer that holds data until it is collected; (ii) the third stage is a fan-in 3-to-1 multiplexer that drives the output from the selected buffer to the system output. It is worth noting that only the data path is shown in this schematic, control is assumed to provide correct *sel0* and *sel1* values.

The first multiplexer stage receives the control information from *sel0* and data from *in*, effectively synchronising both inputs, buffering the data before effectively writing to the selected output. Each buffer in the second stage is a simple loop that receives data from its input, effectively holding the data as it attempts to send on its output channel. The third



Figure 2.3 – Asynchronous counter with a parallel load, implemented with an uncoupled set channel in Go. It uses conditional reading with the select statement.

<span id="page-19-0"></span>

<span id="page-19-1"></span>Figure 2.4 – Asynchronous reordering buffer using fan-in and fan-out multiplexers in Go.

stage receives control channel *sel1* and from the channel selected by it forwards data from the selected data input channel, ignoring any non-selected inputs.

It is worth mention that while real circuits perform read and write in parallel, Go blocking receives are inherently sequential. This does not pose a problem, as long as communication between two independent goroutines are performed over a single channel. However, when using multiple channels between to goroutines, caution must be taken to perform the matching sends and receives in the same order.

## <span id="page-20-0"></span>**3. STATE OF THE ART**

This Chapter reviews state of the art, introducing a few related works relevant to the development of this proposal. The Chapter is divided into two Sections: Section [3.1](#page-20-1) focus on asynchronous processors implementations, while Section [3.2](#page-22-1) explores applications of the RISC-V ISA to date.

### <span id="page-20-1"></span>**3.1 Asynchronous Processors**

Asynchronous processors are not new, classic references exist to them in academic works and even mention of commercially successful products based on these can be found. The first asynchronous processor was developed at Caltech. The Caltech Asynchronous Microprocessor, reviewed in Section [3.1.1,](#page-20-2) is not only the first asynchronous processor but also a first encompassing QDI circuit design. The nature of QDI was claimed by the authors not to be prohibitive in terms of area overhead with the design of MiniMIPS.

Apart from this QDI implementation, Manchester University developed Amulet, an asynchronous implementation of an ARM ISA using Bundled Data techniques [\[Fur95\]](#page-43-4), based on the concept of *micropipelines* [\[Sut89\]](#page-45-6). This processor is briefly reviewed in Section [3.1.2.](#page-21-0)

Finally, Section [3.1.3](#page-22-0) investigates a later QDI design designed at Caltech, the MiniMIPS.

### <span id="page-20-2"></span>3.1.1 The Caltech Asynchronous Microprocessor

In 1989, Martin et al. [\[MBL](#page-44-2)+89] described the design of the very first asynchronous microprocessor, a simple, 16-bit, clockless, RISC microprocessor. Developed as a proof of concept that asynchronous circuits are fit for complex data paths, it sets the cornerstone for QDI design.

The design challenges faced at the time required a novel approach, and three design abstraction levels were used: first, a purely functional model using message passing parallel programming with abstract channels was developed; next, abstract channels were replaced with handshake protocol implementations; finally, a netlist level design was derived from the handshake-aware model [\[Mar14\]](#page-44-5). This approach allows for optimisation and verification at all three design levels.

Another milestone related to this work is the concept of QDI circuit design itself. Initially, the intention of the authors was to design circuits completely insensitive to delay, but it proved impossible to create anything useful respecting such a restrictive constraint. The

QDI approach, developed along this design, relaxes this requirement by allowing specific delay constraints on specific, sensitive forks.

## <span id="page-21-0"></span>3.1.2 The Amulet Family of Asynchronous ARM Processors

During the 90's a series of three asynchronous processors implementing ARM ISAs were developed at the Manchester University, using a BD template called micropipelines. The Amulet series of processors and accompanying chips were created to explore the feasibility and commercial potential of asynchronous circuits [\[GFTW09\]](#page-43-5).

While previous notable works proved the feasibility of asynchronous circuits, they did not provided real advantages over traditional synchronous counterparts, nor they implemented a proven ISA from which comparisons could be drawn. The Amulet series attempt tackling those issues, producing a low-power high-performance commercially viable ARM processor.

*Amulet 1*, produced between 1991 and 1993 on a 1 µm process, implemented an organisation similar to the ARM6 and yielded a performance of approximately 16 MIPS, as described in reference [\[FDG](#page-43-6)<sup>+</sup>94]. It is a scalar pipeline with a register locking mechanism to avoid hazards by bubble insertion. This simple mechanism guarantees the correct execution of the instruction flow, albeit providing comparatively poor performance.

*Amulet 2* is an improvement over the previous design. It was produced between 1994 and 1996 on a 0.5 µm process. It consists in an organisation similar to the ARM7 and has a performance of 40 MIPS [\[FGT](#page-43-7)+97]. It featured a few organisational improvements over Amulet 1, the main one being an asynchronous cache to speed memory access. Improvements on hazard handling allows most common bubbles to be avoided.

Amulet 2 took advantage of the clock elimination to provide low power consumption by halting whenever possible. Branch instructions are decoded as halt instruction fetch, effectively halting the pipeline. The asynchronous nature provided the circuit with the ability to halt and resume extremely fast.

The final design is *Amulet 3* produced between 1996 and 2000. this is an outof-order asynchronous implementation of the ARMv4T ISA, similar to ARM9 [\[GFTW09\]](#page-43-5). It was developed to demonstrate the commercial viability of asynchronous processors on embedded applications [\[FEG00\]](#page-43-8). It yielded a performance of over 100MIPS on a 0.35  $\mu$ m process and found commercial application on *DRACO* a *DECT base stations* due to its low power consumption and advantageous low electromagnetic emission profile [\[GFTW09\]](#page-43-5).

According to Garside et al. [\[GFTW09\]](#page-43-5), at the time the lack of mature computer aided design (CAD) tools and limited advantages made the complexity of designing asynchronous circuits unappealing for further commercial applications.

### <span id="page-22-0"></span>3.1.3 The Caltech MiniMIPS Processor

As a sequence of the work reviewed in Section [3.1.1,](#page-20-2) Martin et al. [\[MLM](#page-44-6)<sup>+</sup>97] proposed the MiniMIPS asynchronous processor implementation, which builds on a few shortcomings from the design described in [\[MBL](#page-44-2)<sup>+</sup>89] and provides an answer to the critics that QDI is not suitable for high-performance applications.

The MiniMIPS implements the MIPS R3000 ISA, but without the Memory Management Unit (MMU) and accompanying Translation Lookaside Buffer (TLB). It was chosen due to the fact that this a relatively straightforward, commercially available processor, making it possible to benchmark performance comparisons of the design against its commercial synchronous versions.

Figure [3.1](#page-23-0) shows a block diagram of the MiniMIPS pipeline. Blocks in the Figure are independent functional units operating in parallel. They communicate using channels, represented by lines connecting blocks. These are implemented using a four-phase handshake protocol, where data is encoded using either dual-rail or one-hot encoding.

The pipeline design takes advantage of asynchronous circuits synchronisation proprieties, making it different from traditional synchronous scalar pipelines. Instructions are executed in parallel by independent execution units as soon as their operands are ready. The *writeback* unit, supported by an external buffer, supervises execution and coordinates the use of operand buses leading to the register bank. It thus coordinates the register access by different execution units.

MiniMIPS was fabricated on a 0.6 µm process. Performance evaluation yielded the following results: 180 MIPS and 4 W at 3.3 V; 100 MIPS and 850 mW at 2 V; 60 MIPS and 220 mW at 1.5 V [\[MNW03\]](#page-44-7).

### <span id="page-22-1"></span>**3.2 RISC-V Example Implementations**

The RISC-V ISA has already been successfully implemented, and SoCs have been fabricated with cores implementing this architecture on a variety of applications. As a relevant example, NVidia announced it is replacing their GPU memory controller with a custom architecture employing a RISC-V based processor [\[XN16\]](#page-45-7). Samsung, Google, HP and others are following in the same path to employ this new ISA proposal.

This Section focus on a review of some relevant RISC-V implementations: Section [3.2.1](#page-23-1) reviews a family of reference synthesisable softcore implementing the RISC-V ISA; Section [3.2.2](#page-24-1) examines an application using the Rocket reference softcore with a custom Vector acceleration extension fabricated using 45nm *Silicon on Insulator* (or SOI) process.



<span id="page-23-0"></span>Figure 3.1 – Block diagram of the MiniMIPS pipeline. Extracted from Martin's patent on the MiniMIPS asynchronous pipeline [\[MLM](#page-44-8)<sup>+</sup>02].

### <span id="page-23-1"></span>3.2.1 The Rocket Chip Generator

Asanović et al. [\[AAB](#page-43-2)+16] describe the Rocket Chip Generator, a SoC generator that produces synthesizable RTL. The work employs Chisel, a language that allows building a library of soft-cores, caches and interconnect generators. All hardware RTL generated is synchronous and can be synthesised using industry tools that target either standard cell libraries or FPGA implementations.

The work describes three families of soft-cores implementing the RISC-V architecture: (i) Rocket, an in-order, scalar, 6-stage parametrizable RISC-V core implementing any of all extensions of the 32-bit and 64-bit architecture; (ii) BOOM, Berkeley Out of Order Machine, an out-of-order, superscalar parametrizable RISC-V core, also implementing all extensions of the RISC-V architecture; (iii) Z-Scale, a 3-stage, in-order, scalar pipeline implementing just the Integer and Multiple sets of the 32-bit RISC-V architecture, focused on low power embedded applications.

Figure [3.2](#page-24-0) presents an example organisation produced by the Rocket Chip Generator for a 3-stage, low power, in-order Z-Scale core implementing just the most basic 32-bit integer RISC-V instruction set.



<span id="page-24-0"></span>Figure 3.2 – Block diagram of the Z-Scale core organisation. Extracted from Berkeley's ucb-bar/riscv-sodor Github Repository [\[UoC14\]](#page-45-8).

Figure [3.3](#page-25-0) presents a 6-stage, scalar, in-order pipe of the Rocket Core, including the optional IEEE754 double precision FPU with fuse-and-multiply.

Finally, Figure [3.4](#page-25-1) depicts the superscalar, out-of-order pipe of the Berkeley Out of Order Machine. It uses register renaming, multiple dispatch queues and a reorder buffer to optimise instruction parallelism.

## <span id="page-24-1"></span>3.2.2 The Hwacha RISC-V Vector Processor

Lee et al. [\[LWA](#page-44-9)<sup>+</sup>14] describe the first manufactured dual-core implementation of the RISC-V architecture. This SoC was designed using the Rocket Chip Generator in Chisel, it includes two Rocket scalar in-order cores tightly coupled with a Hwacha vector accelerator. The chip takes advantage of the RISC-V instruction set extensibility feature.

Each core, paired with its vector accelerator is tied by caches to a coherency interconnect that attaches to 1MB of SRAM Memory, and a custom FSB interconnect.



Figure 3.3 – Pipeline diagram of the Rocket Core extracted from lowRisc Rocket Core Overview web page [\[low15\]](#page-44-10).

<span id="page-25-0"></span>

<span id="page-25-1"></span>Figure 3.4 – Block diagram of Boom superscalar core. Extracted from Celio's ccelio/riscvboom-doc Github Repository [\[Cel16\]](#page-43-9).

A chip was fabricated using a 45nm SOI CMOS process with 11 metal layers. The entire processor occupies approximately 3*mm*<sup>2</sup> . At nominal 1*V* supply it runs with a 1*Ghz* clock frequency and consumes 430*mW*.

## <span id="page-26-1"></span>**4. THE ASYNCHRONOUS RISC-V (ARV) PROCESSOR**

This Section proposes the Asynchronous RISC-V (or ARV) processor and provides a high level overview of the organisation. Chapter [2.3.1](#page-16-0) explores the modelling of this organisation in Go and its validation.

The overall block diagram of this processor appears in Figure [4.1.](#page-26-0) It is an asynchronous, superscalar pipeline implementing the *RISC-V 32-bit Integer Architecture*, also known as RV32I. The pipeline employs speculative execution and a register locking scheme to deal with branches and hazards.

The high level model is designed assuming a full-buffer [\[SF01\]](#page-45-2) pipeline implementation, which is easier to model using Go primitives. However, this do not mean that the same model cannot be used as the starting point for half-buffer QDI implementations such as those using templates like PreCharged Half Buffer (PCHB) or Weak-Conditioned Half Buffer (WCHB) [\[BOF10\]](#page-43-10). A smart asynchronous synthesis tool can extract the intended behaviour from the high level model and implement it in any such template.



<span id="page-26-0"></span>Figure 4.1 – Block diagram representation of the ARV execution pipeline organisation. Arrows represent handshake channels and blocks represent pipeline stages.

ARV is composed by communicating elements organised in two nested loops, forming the *Execution Pipeline*: (i) the outermost loop is an uncoupled *Control Loop* (CL), responsible for fetching, decoding and terminates instructions; (ii) The *Datapath Loop* (DL), which retrieves, manipulates and stores data.

Additionally three other auxiliary loops are present: (i) the *Program Counter Loop* is responsible for keeping track of the *Program Counter* (PC) and *stream tag*; (ii) the *Valid Tag Loop* is responsible for holding the *valid tag* identifying the current valid instruction flow;

(iii) and the *Register Locking Loop* (RLL), responsible for identifying registers being modified by instructions currently under execution

As usual, the PC is used to fetch new instructions and as an operand in some instructions, while the valid tag is used by the Retire Unit to identify and cancel instructions that have been invalidated by branches or exceptions.

The basic flow of instructions in the pipeline occur in this order: (i) first the *fetch address* is fed into the *Memory Instruction Port*; (ii) then, the fetched *opcode*, PC and *stream tag* values associated with the instruction are fed into the *Decoder Unit*, which identify the *operands* and *operation*; (iii) next, the *Operand Fetch Unit* is fed. It reads the operands from registers, possibly holding the instruction execution to avoid hazards. Once ready, the instruction target register address is fed to Target Register Unit, which locks the target register for reading, while the operation is fed to the Dispatcher Unit; (iv) the *Dispatcher Unit* records the instruction in the *Program Ordering Queue* and sends the instruction to the appropriated *Execution Unit*; (v) the selected Execution Unit is where the instruction is in fact executed and results wait to be collected; (vi) as a sequence, comes the *Retire Unit*, which reorders instructions as defined by the *Program Ordering Queue* and verify conditions for the achievement of instructions by asserting their *validity tag*; (vii) finally, the instruction finishes execution as the *Register Access Controller* writes the result to the address read from the Target Register Queue, unlocking the target register for reading; (viii) optionally, branches are taken, updating the PC and the Tag.

The Execution of instructions is further detailed as each component is explored in the next Sections. In these Sections, each loop and their interactions are described.

## <span id="page-27-0"></span>**4.1 The Control Loop (CL)**

The Control Loop (CL), depicted in Figure [4.2,](#page-28-0) is the outermost loop of the system, and is responsible for controlling the execution flow of programs. Its entry point is the Program Counter Loop and its end point is the Valid Tag Loop. It shares a path with the Datapath Loop (DL) from the Operand Fetch Unit to the Retire Unit.

Every instruction is associated with a PC and Stream Tag value. The PC is used as address to fetch instructions from memory and as an operand in some instructions. The Stream Tag identifies instructions that must be cancelled due to branching and/or exceptions. It does so by counting the number of times the program counter is set at both ends, sending the value kept at the Program Counter Loop along the instruction in the pipeline, and comparing the tag received with the instruction to the tag kept in the Valid Tag Loop. If the Stream Tag received from the Program Ordering Queue by the Retire Unit do not match the updated value kept by the Valid Tag Loop, any program counter updates, memory or register writes performed by the instruction are not issued, effectively cancelling the instruction.



<span id="page-28-0"></span>Figure 4.2 – Block diagram representation of the ARV Control Loop, extracted from the ARV organisation.

The Retire Unit is responsible for incrementing the valid tag and issuing the *branch target* to the *Next Program Counter and Tag Calculator* (NPC) through a buffered uncoupled channel when a branch or exception occurs. When the NPC receives the branch target, it increments the Stream Tag and sets the PC accordingly.

The branch target channel is uncoupled, since NPC checks the validity of the input and acts accordingly, instead of blocking while it waits for a valid input. As the path closing the loop contains an uncoupled channel, the loop itself is called an uncoupled loop. A buffer is used in the branch target channel to avoid deadlocks created by race conditions in the uncoupled input, possibly eliminating the available bubble that allows token mobility in the loop.

It is important to use an uncoupled loop to model  $CL<sup>1</sup>$  $CL<sup>1</sup>$  $CL<sup>1</sup>$ , due to how the DL deals with pipeline hazards. This occurs because the DL stalls decoding and fetching of instructions while it inserts bubble pseudo-instructions, increasing the number of tokens and saturating the CL.

The cancellation mechanism incurs in a branch penalty of at least 7 instructions as this is the pipeline depth from the fetch stage to the retire unit.

## <span id="page-28-1"></span>4.1.1 Instruction Decoding

Instruction decoding is performed by the *Decoder Unit*, which is responsible for three tasks: (i) determining the instruction format, used by the Operand Fetch Unit to identify the instruction operands; (ii) decoding the three register fields to a one-hot register address used by the Execution Pipeline; (iii) identifying the instruction operation to be performed in the Execution Unit.

<span id="page-28-2"></span><sup>&</sup>lt;sup>1</sup> Remember that, as said in Section [2.3.1](#page-16-0) an uncoupled loop comprises at least on non-blocking channel, represented as dotted arrows in the block diagrams presented here.

The *instruction format* is encoded in a one-hot representation with seven possible values: (i) *opFormatR* is used for most register-to-register instructions, it instructs the Operand Fetch Unit to retrieve two operands from the register bank; (ii) *opFormatI* is a the format used by the variant of register-to-register instructions that includes a 12-bit signed immediate. The Operand Fetch Unit is instructed to fetch one operand from the register bank and to sign-extend the immediate value embedded in the instruction as the second operand; (iii) *opFormatS* is the format used by the *memory store* instructions. It tells the Operand Fetch Unit to retrieve two register operands and sign extend the 12-bit immediate offset value; (iv) *opFormatB* is used for *conditional branch instructions*. Here, the Operand Fetch Unit is instructed to read two operands from registers and sign extend the 12-bit jump offset; (v) *opFormatU* is used by LUI and AUIPC and consists in sign-extending the 20-bit immediate as one operand and using the instruction PC as the other; (vi) *opFormatJ* is similar to opFormatU, but the sign-extension of the 20-bit immediate is specific to the JAL instruction; (vii) *opFormatNop* is used by the decoder on invalid and the optional FENCE instructions. It signals the Operand Fetch Unit to insert a bubble.

The *instruction operation* is encoded in two one-hot variables. The first encodes which execution unit is responsible for executing the instruction. The second variable is specific to the execution unit and encodes what operation should the latter perform on the received operands.

This simplifies the design of further stages, since the required control information regarding the instruction is already decoded and readily available.

### <span id="page-29-0"></span>**4.2 Datapath Loop (DL)**

The Datapath Loop (DL), depicted in Figure [4.3,](#page-30-0) is a closed loop composed by 6 logical stages: (i) the *Operand Fetch Unit*; (ii) the *Dispatcher*; (iii) the first, and (iv) second stages of the *Execution Units* and *Program Ordering Queue*; (v) the *Retire Unit*; (vi) the *Register Access Controller*.

The DL holds a constant amount of 5 tokens. New instructions are admitted in the loop as old instructions are retired.

The Register Access Controller implements register bypassing to avoid write-afterread hazards. This implies that every register read must be matched with a register write. Cancelled instructions and instructions not operating on the register bank explicitly inform the Register Access Controller.

When instruction enters the DL, hazards are treated using the Register Locking mechanism and operands are fetched. The instruction follows to the parallel execution engine. These mechanisms are detailed in the next Sections.



<span id="page-30-0"></span>Figure 4.3 – Block diagram representation of the ARV Datapath Loop, extracted from the ARV organisation.

### <span id="page-30-1"></span>4.2.1 Register Locking and Operand Fetching

The *Operand Fetch Unit* is the entry point of instructions into the DL. This unit is responsible for retrieving data from the Register Access Controller and for completing immediate operands. It is also responsible for stalling the pipeline in case of data hazards.

Data hazards are avoided by locking registers that are waiting for data to be written. If an instruction attempts to read a locked register, the Operand Fetch Unit stalls the decoding of new instructions and inserts bubbles in the pipeline.

The Register Locking Loop (RLL), detailed in Figure [4.4,](#page-31-0) is responsible for tracking currently locked registers. It is composed by a 4-stage *Target Register Queue*, an OR logical stage and the Operand Fetch Unit. The DL and RLL are closed loops of the same length, running in parallel. Every token in the RLL corresponds to a token in the DL.

Each stage in the *Target Register Queue* holds the target register of an instruction in execution stages: *Stage 0* holds the target register of the instruction currently in the Dispatcher Unit; *Stages 1 and 2* holds the target register of the two instructions present in Execution Units; and *Stage 3* holds the target register of the instruction in the Retire Unit. The Target Register queue is fed by the Operands Fetch Unit and consumed by the Register Access Controller.



<span id="page-31-0"></span>Figure 4.4 – Block diagram detailing the Register Locking Loop and the Operand Fetch mechanism.

Register Addresses employs a one-hot code, which allows the use of a simple OR to sum all registers in the Target Register Queue to produce the *Locked Register Mask*. This is employed by the Operand Fetch Unit to determine whether the register the instruction is currently attempting to read is currently locked. If any of the registers being read are locked, the Operand Fetch Unit stalls fetching and decoding of instructions, by not handshaking with its input channel. It then inserts bubbles in the pipeline that behave like no-operation (NOP) instructions until the register in question is unlocked.

The insertion of bubble pseudo-instructions is important: (i) to avoid stalling RLL, since the OR logical stage uses channels to feed all stages of the Target Register Queue, it must be always full to generate a mask; (ii) to keep the correlation of an address in the Target Register Queue with an instruction in some execution stage; (iii) to allow correct operation of the Register Access Controller, which synchronises read and write operations to the Register Bank.

The Operand Fetch Unit retrieves register operands using two register read ports in the Register Access Controller. Each read port comprises a *register address* channel and a *register data* channel. The diagram shown in Figure [4.4](#page-31-0) aggregates the address channels and the data channels in a single entity for the sake of simplifying the Figure.

To avoid write-after-read hazards of instructions concurrently operating on the register bank, a register read is always matched with a register write. If the address of the register being written is equal to the address of a register being read, data is bypassed from the write port to the matching read ports, while register write takes place simultaneously.

This synchronisation implies that instructions not reading from registers should inform this to the Register Access Controller, by sending a special *no read* code instead of a register address. The *no read code* clears the responsibility of sending register data from the Register Access Unit to the Operand Fetch Unit.

The Operand Fetch Unit is also responsible for sign-extending immediate values from fields of an instruction code, according to the ISA specification.

Once all operands are retrieved, the instruction follows to the Dispatcher for execution.

## <span id="page-32-1"></span>4.2.2 Parallel Execution and Instruction Retiring

Once an instruction enters the Dispatcher, it has all required operands available to complete execution. The pipeline uses a fan-out distribution characteristic of asynchronous circuits. Instructions are only sent to units involved in their execution. This diverges from the traditional ALU design of synchronous processors, where data is sent to all units and results are collected by a multiplexer. An interesting aspect of this approach is the potential for energy saving, since units not operating on an instruction need not produce switching activity.



<span id="page-32-0"></span>Figure 4.5 – Block diagram representation of the ARV parallel execution engine with the instruction Dispatcher and Retire Unit, extracted from the ARV organisation.

The fan-out unit selection scheme introduces problems when there is a discrepancy in the individual delays of distinct units. This may cause instructions to execute out of order. As consequence, instruction reordering techniques are required for correct execution.

Reordering is performed with the aid of the *Program Ordering Queue*. When the Dispatcher issues an instruction to one of the execution units, it records which unit was selected along with the stream tag in the Program Ordering Queue.

Since an instruction reordering mechanism is used, it becomes simple to implement parallel execution units to improve throughput. To achieve a theoretical best case of 2-instruction parallelism, the Program Ordering Queue is two-stage deep. Besides, to accommodate the scenario of two instructions being dispatched to a same Execution Unit in sequence, units are each two-stage long.

Execution itself is carried by six parallel specialised *Execution Units*: (i) the *Bypass Buffers*, simply send the single input from operand to the result output; (ii) the *Adder Stages* implement addition, subtraction and comparison operations in two stages; (iii) the *Logic Stage* performs the bit-wise XOR, AND and OR operations; (iv) the *Shifter Stage* is a barrel shifter capable of arithmetic right, logic right and left shifts; (v) the *Branch Stages* compute the target PC and perform comparisons to determine if the branch is to be taken; (vi) the *Memory Stages* compute memory access addresses, command the memory access ports and sign-extend the returned data. Each execution unit operates on data, holding the results for collection by the Retire Unit.

The Memory Stages are responsible for memory reads and writes. Access is performed using a read-then-write memory port, allowing future implementation of atomic memory instructions.

A *memory read operation* is performed with the following steps: (i) *Memory Stage 1* calculates the memory access address from the base and offset values; (ii) The desired operation is sent to *Memory Stage 2*; (iii) Concurrently, the address and a flag indicating a read-only operation are sent to *Memory Data Port*; (iv) Memory Stage 2 receives the data from Memory Data Port, sign-extends it accordingly and holds the result for later collection by the Retire Unit.

*Memory write operations* follow the basic flow of a memory read with slight modifications: (i) Memory Stage 1 is responsible for calculating the memory access address; (ii) The desired operation is also sent to Memory Stage 2; (iii) Concurrently the address, data an a flag indicating the write intention are sent to the Memory Data Port; (iv) The memory data port is blocked, waiting for a *write enable* command to perform the memory write operation; (v) Memory Stage 2 then holds a flag indicating a memory write is pending for collection by the Retire Unit; (vi) The Retire Unit eventually collects the flag and sends the write enable to the Memory Data Port, enabling the pending memory write and completing the operation.

The Retire Unit reorders instructions by collecting results from the Execution Units in the order determined by the Program Ordering Queue. The mechanism described in Section [4.1](#page-27-0) determines if the instruction is cancelled or not. If the instruction has not been cancelled, the Retire Unit performs the following operations depending on the instruction received: (i) sends the result to the Register Access Controller for writing back to the target register; (ii) authorises a pending memory write in the Memory Data Port; (iii) increments the valid tag value and sends the branch target to the NPC.

For the register writeback, the Register Access Controller receives the result from the Retire Unit and writes it to the register addressed by the tail of the Target Register Queue. A register write enable channel is used to signal the Register Access Controller if the write should be performed or not. On an instruction cancellation, the flag is set low and the result is ignored by the Register Access Controller. This is necessary to synchronise the RLL and the DL since the target register address is consumed from the Target Register Queue only when the Retire Unit finishes the instruction execution.

### <span id="page-34-1"></span>**4.3 Initialisation**

All sequential circuits require some initialisation to a known starting state. Since ARV comprises closed loops, the initialisation process must place tokens in the correct logical stages to fill the loops. This guarantees a stable and expected start of operation.



<span id="page-34-0"></span>Figure 4.6 – Block diagram representation of the ARV organisation, with logical units initialised with tokens highlighted in dark grey.

The logical stages marked with dark grey in Figure [4.6](#page-34-0) must be initialised with tokens. Stages not marked are initialised to a empty state, waiting for tokens in their inputs.

The Next Program Counter and Tag Calculator is initialised with the initial Program Counter value. This initiates the fetching of instructions.

The DL and RLL must be initialised with tokens for correct operation. The tokens placed initialise the DL to a state where it is full of bubbles, each acting as an NOP instruction. The Stream Tag of the bubbles is set to 0, but they are irrelevant, since bubbles commit to neither memory or registers, nor they modify the program counter.

The Stream Tag and Valid Tag values are set by the NPC and the Retire Unit to 0 on initialisation. The PC is set to 0 and the first instruction is fetched from memory location 0.

## <span id="page-36-0"></span>**5. THE ARV ORGANISATION MODELLING AND VALIDATION**

The ARV organisation was modelled using the Go programming language, applying techniques like those described in Section [2.3.1.](#page-16-0) The model is executable and acts as a simulator capable of running code compiled for the RISC-V 32-bit integer architecture, the RV32I ISA. This approach allows the use of software tools to detect race conditions and deadlocks, making it easier to correct the design.

This Chapter describes how the processor and the simulation platform was modelled using Go, the software used for validation, how the software is executed in the simulated platform and how validation in Go may help in designing complex circuits.

### <span id="page-36-1"></span>**5.1 The ARV Go Model and Simulator**

The processor model is composed of a series of goroutines communicating through channels and a *model object* holding persistent information about the state of the model. The model object holds reference to the memory model used by the simulated processor, the register bank and a flag used to synchronise the start of simulation after the model is constructed.

The model components are initiated by a set of hierarchical constructors. Each constructor is responsible for creating the goroutine representing the logical stages and the channels connecting logical stages. The goroutines are instantiated using inline anonymous functions.

The topmost constructor initialises the state structure and the channels interconnecting the stages before calling child constructors. Each constructor is responsible for launching goroutines implementing the logical stage.

Logical stages that initialise to a specific state, as described in Section [4.3](#page-34-1) wait for the common start flag before sending initial data to the channel. This guarantees that upon construction the model waits for a *start signal* before operating.

The processor model constructor expects a *memory model* reference as argument. This is an object providing constructors for the memory read and write ports that create goroutines behaving as memory access ports.

The memory model adopted in simulation accesses a memory mapped file and a simulated register for controlling the simulation. A file is mapped using the mmap system call of Unix operation systems to the beginning of the memory address, starting at address 0x0. The upper memory area is reserved for two simulated register that control the simulation platform: (i) When writing to address range 0x80001000-0xFFFFFFFF, all bytes are

interpreted as characters and outputted to the terminal; (ii) Writing any value to address 0x80000000 terminates the simulation.

To aid debugging, the instruction flow in the pipeline can be logged to the output. This behaviour is optionally enabled by setting a flag when invoking the platform.

The model and simulation platform are all written in Go and it is expected from the reader willing to use the platform to have available a proper working Go development environment. The Go package name is bitbucket.org/marcos\_sartori/qdi-riscv and it is beyond the scope of this work describing how to install and compile Go packages and their dependencies. Instructions on how to install and use the Go environment can be found in the official documentation [\(https://golang.org/doc\)](https://golang.org/doc).

It is worth noting that due to the use of the mmap system call, the simulation is adapted to only run in Unix-like platforms. The version described herein has been successfully validated and tested using Go version 1.7.5 on Fedora 25 and Go 1.8.1 on Ubuntu 16.04 LTS.

### <span id="page-37-0"></span>**5.2 Validation Software**

The validation was performed using software compiled for RV32I architecture. A port of the RISC-V Foundation *unit tests for RISC-V processors* is used to test conformity to the RISC-V ISA [\[RIS15b\]](#page-45-9). A port of *bareOS* for the RISC-V, a minimalist baremetal C runtime and library used by Aguiar, Moratelli, Sartori et al. to validate a hypervisor for MIPSbased MPSoCs [\[AMSH13\]](#page-43-11) was also used to test ARV's capability to run code generated by high-level compilers.

The unit test for RISC-V is a set of assembly programs designed to test individual instruction compliance to the RISC-V specifications. It tests general and corner cases for each instruction, comparing the execution result to an expected value.

The code itself is written using assembly macros and it can be easily ported to different execution environments by modifying stubs implementing communication with the outside world and linking to the appropriated memory location. The unit test used target RV32I, the basic subset of the ISA, which is implemented in the proposed organisation.

To further validate the processor, code generated by a C compiler is used. Since the simulation environment has no operating system, bareOS was ported to the RISC-V architecture. It is responsible for interfacing with the hardware. It initialises a sane C execution environment expected by GCC and provides support libraries, including a basic C library and software multiply functions.

A small application in C implementing a non-recursive resolution algorithm to solve the Tower of Hanoi problem was compiled for bareOS and used for compiled code validation.

This application was chosen due to its use of branches, function calls and memory accesses, being similar to a regular structured memory-bound application. This tests aims at stressing the pipeline to check for bugs in the branching and hazard avoidance mechanism.

## <span id="page-38-0"></span>**5.3 Running Code on the Simulated Platform**

The platform runs real code placed into its memory starting at address 0. All general purpose registers start with an unknown value. To run software on the platform, the object code must be preloaded to the required position in a flat binary file representing the memory contents and it should perform any initialisation of the environment.

Also a tool chain capable of generating code for the RISC-V architecture is required to compile code for the platform. In this work, the official *The RISC-V Foundation Toolchain* is used to generate code [\[RIS15a\]](#page-45-10). Instructions to download and compile this tool chain can be found at [https://github.com/riscv/riscv-gnu-toolchain.](https://github.com/riscv/riscv-gnu-toolchain)

Since ARV does not implement the 64-bit variant of the ISA which is built by default, it is important to use the following commands to build the compiler once its source code is downloaded:

```
$ mkdir build
```

```
$ cd build
```

```
$ ../configure --with-arch=rv32ima --with-abi=ilp32
```

```
$ sudo make
```
These steps build and install the riscv32 Toolchain in the /usr/local prefix, eliminating the requirement to adjust PATH variables.

Directory samplecode in the source code distribution contains: (i) a copy of bareOS, responsible for initialising the C execution environment; (ii) a linker script, defining the memory layout; (iii) a makefile which invokes the compiler and strips the binary headers to create the required flat memory image required by the Simulation Platform.

New code can be easily ported to the platform using bareOS. File dummy.c provides a template for new projects, it contains function kmain which should never return and is called once the C runtime environment finishes initialisation. Function halt provides a clean way of finishing execution.

To compile and run new code on the platform, copy dummy.c to a new file terminating in .c. Edit the file to provide the source code of the new program. Include the chosen file name in the PROGNAME list in the Makefile, i.e. test\_hannoi.c becomes test\_hannoi

Running make on the samplecode directory should create a .lst and a .bin file for every entry in PROGNAME. The .1st file is the assembly listing of the compiled program, which helps during debugging. Finally, the .bin file is the memory image file used by the simulator.

To launch the compiler in the simulator invoke qdi-riscv supplying the memory image file name as argument to the -memfile flag. Other flags are present and relate to the process of debugging the processor model. Invoking compilation with -h provides a brief description on how to use them.

### <span id="page-39-0"></span>**5.4 Advantages of Using Go for Asynchronous Circuit Validation**

The main advantage of using Go when designing complex asynchronous circuits is the easy detection and visualisation of deadlock conditions at the message passing abstraction level. This helps in managing complexity, since predicting deadlock conditions at early design stages can be difficult. This occurs due to the high parallelism of asynchronous circuits and the interaction of units at the system level leading to unexpected scenarios.

Once a deadlock condition occurs, the state of each goroutine is displayed in an on-screen *panic trace*. The panic trace shows the state of each goroutine, if it is locked waiting to send or receive on a channel and the line of code it is currently locked on. This information is enough to identify in the source code the goroutines involved in the production of a deadlock.

If further information about the state of the program is required when the deadlock occurs, logging instructions can be inserted in the source code to examine the execution flow in key goroutines.

To demonstrate it, a step of the validation where design changes were introduced due to a deadlock condition is presented herein. The deadlock occurred due to the CL saturation that occurred intermittently on branch instructions. The cause was identified to be a race condition on the uncoupled *branch target* channel from the Retire Unit to the NPC. The solution was the introduction of a buffer stage on the output of the Retire Unit to accommodate the token during extra handshake cycles without locking the Retire Unit.

Appendix [A.1](#page-46-1) includes the panic trace for the described deadlock. A designer familiar with the organisation knows how units are connected. He can then look at the state of goroutines to identify the nature of the deadlock. In the example, all stages of the Control Loop are locked in send operations, meaning that it is saturated. The Retire Unit in goroutine 38 is blocked sending the branch target, while the NPC is blocked trying to send the next PC value to the memory instruction port. The expected behaviour is that the NPC should receive the branch target if one is available, before trying to fetch the next instruction. However, a race condition occurred and the NPC advanced, inserting a token that saturates the control loop. To solve the problem, a buffer stage is inserted to hold the branch target, effectively inserting a second bubble in the loop to accommodate the token inserted when the race condition occurs.

## <span id="page-40-0"></span>**6. RESULTS AND FINAL THOUGHTS**

This Chapter presents the result of each test and briefly discusses them. Later, it discusses the merits and shortcomings of this work, followed by the proposition of future work to continue, extend and/or improve this initial work.

### <span id="page-40-1"></span>**6.1 Validation Results**

After iterations of validation and corrections, the developed model passed all proposed tests. This Section presents and discuses the results.

The results mentioned in this Section are the output of the simulation platform for each test. It includes messages from the simulation platform and the output of the program running in the simulated environment. At the end, metrics of the execution regarding pipeline usage are shown.

### <span id="page-40-2"></span>6.1.1 Unit Test for RISC-V Processors

The Unit Test for RISC-V Processors checks the processors compliance to the RISC-V ISA specification. The simulator output in Appendix [A.2](#page-51-0) shows the results for the compliance test to the RV32I subset of the ISA.

Each instruction is tested for compliance and OK or ERROR is printed to indicate whether it passed or failed the test. The proposed organisation passed every individual instruction test, indicating compliance to the ISA specification. However, compliance to the standard does not guarantee the processor is free of bugs.

The performance at first appears sub-optimal, with 17,285 bubbles inserted due to pipeline hazards for 14,729 decoded instructions. Instruction cancellation due to branches also display a relatively high figure of 6,588 instructions for a simple assembly program, but this is expected, since no branch prediction mechanism was implemented.

### <span id="page-40-3"></span>6.1.2 High Level Compiled Code

The Tower of Hanoi test further stresses the pipeline and certifies the correct execution of compiled C code. The simulator output in Appendix [A.3](#page-52-0) shows the computed steps required to solve the Tower of Hanoi puzzle.

It computes the 127 steps required to solve the puzzle with 7 disks, with rods numbered from 0 to 2. The code calls printf routine to print the instructions of each step after calculating the move. This test uses memory accesses and function calls extensively. This stresses the branch, hazard-avoidance and memory access mechanisms.

To assert correction execution, the test program was compiled and run for both the simulated and host platforms and their outputs were compared. The outputs were identical, proving that the design is capable of correctly executing software compiled for the RISCV32I ISA.

The performance was again sub-optimal, inserting 145,835 bubbles for 154,943 decoded instructions to avoid pipeline hazards. Instruction cancellation accounted for 125,920 instructions and bubbles reaching the Retire Unit, with 174,856 instructions completing execution.

### <span id="page-41-0"></span>**6.2 Conclusion and Future Works**

This work satisfied its goal of implementing a high-level functional model of the ARV asynchronous processor. Validation results strongly indicate that the ARV model correctly implements the RISC-V RV32I architecture.

The design and high-level model of ARV in Go have proved the language is adequate to model complex handshake channel-based circuits. The software environment provided by the language helped during the design debugging process. The author believes the advantages of using a high level language for validation justify the use of the Go programming language as a hardware description language.

Of course, to implement hardware modelled in Go, it is necessary to develop techniques to translate the Go high level description to lower level descriptions. Future work includes developing tools to automatically transform the high level Go description into a lower level netlist using asynchronous templates.

Performance of the described implementation seems rather poor at first, albeit no precise timing measurements can in fact be extracted as the model proposed contains not even delay estimations. Future work is also expected to improve the pipeline performance by exploring optimisation techniques such as hazard resolution units, out-of-order execution and branch prediction units.

The preliminary instruction cancellation measurements presented in this work strongly indicate the need for a branch prediction unit as a primary organisation optimisation. Due to the nature of asynchronous circuits, the cost of bubbles need to be evaluated, comparing it to the cost of implementing alternative solutions.

The timing analysis required for performance evaluation depends upon proposing a more detailed model, with features that allow estimating signal propagation delays. Such finer-grain models are the target of future work, and will be developed with the aid of asynchronous templates and specific standard-cell level descriptions. A finer-grain timed model will also allow the use of industry standard benchmarks to estimate performance.

The Author is currently continuing this work, to explore the necessary steps to implement the organisation proposed here in silicon using asynchronous design techniques.

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## **APPENDIX A – SIMULATION OUTPUT**

#### <span id="page-46-1"></span><span id="page-46-0"></span>**A.1 Deadlock panic trace**

2017/06/05 19:07:42 Memdump file opened 2017/06/05 19:07:42 Memory model created from file 2017/06/05 19:07:42 Processor model instantiated 2017/06/05 19:07:42 Simulation started lui..OK fatal error: all goroutines are asleep - deadlock! goroutine 1 [chan receive]: main.main main.go:61 goroutine 5 [chan send]: processor.(\*processor).nextPcUnit.func1 processor/fetch.go:42 created by processor.(\*processor).nextPcUnit processor/fetch.go:46 goroutine 6 [chan send]: processor.(\*processor).fetchUnit.func1 processor/fetch.go:71 created by processor.(\*processor).fetchUnit processor/fetch.go:73 goroutine 7 [chan send]: processor.(\*processor).fetchUnit.func2 processor/fetch.go:81 created by processor.(\*processor).fetchUnit processor/fetch.go:83 goroutine 8 [select]: processor.(\*processor).fetchUnit.func3 processor/fetch.go:88 created by processor.(\*processor).fetchUnit processor/fetch.go:94

goroutine 9 [chan send]: memory.(\*memoryArray).ReadPort.func1 memory/memory.go:61 created by memory.(\*memoryArray).ReadPort memory/memory.go:66

goroutine 10 [chan send]: processor.(\*processor).decoderUnit.func1 processor/decoder.go:266 created by processor.(\*processor).decoderUnit processor/decoder.go:268

goroutine 11 [chan send]: processor.(\*processor).operandFetchUnit.func1 processor/operandfetch.go:96 created by processor.(\*processor).operandFetchUnit processor/operandfetch.go:211

```
goroutine 12 [chan receive]:
processor.(*processor).reglockEl.func1
processor/registerlock.go:19
created by processor.(*processor).reglockEl
processor/registerlock.go:23
```

```
goroutine 13 [chan send]:
processor.(*processor).reglockEl.func1
processor/registerlock.go:20
created by processor.(*processor).reglockEl
processor/registerlock.go:23
```

```
goroutine 14 [chan send]:
processor.(*processor).reglockEl.func1
processor/registerlock.go:20
created by processor.(*processor).reglockEl
processor/registerlock.go:23
```

```
goroutine 15 [chan send]:
processor.(*processor).reglockEl.func1
processor/registerlock.go:20
```
created by processor.(\*processor).reglockEl processor/registerlock.go:23

```
goroutine 16 [chan receive]:
processor.(*processor).registerLock.func1
processor/registerlock.go:61
created by processor.(*processor).registerLock
processor/registerlock.go:69
```
goroutine 17 [chan receive]: processor.(\*regFile).WritePort.func1 processor/registerbank.go:38 created by processor.(\*regFile).WritePort processor/registerbank.go:50

```
goroutine 18 [chan receive]:
processor.(*regFile).ReadPort.func1
processor/registerbank.go:19
created by processor.(*regFile).ReadPort
processor/registerbank.go:32
```

```
goroutine 19 [chan receive]:
processor.(*regFile).ReadPort.func1
processor/registerbank.go:19
created by processor.(*regFile).ReadPort
processor/registerbank.go:32
```

```
goroutine 20 [chan receive]:
processor.(*processor).registerBypass.func1
processor/registerbypass.go:44
created by processor.(*processor).registerBypass
processor/registerbypass.go:96
```
goroutine 21 [chan send]: processor.(\*processor).dispatcherUnit.func1 processor/dispatcher.go:92 created by processor.(\*processor).dispatcherUnit processor/dispatcher.go:95

goroutine 22 [chan send]:

processor.(\*processor).bypassEl.func1 processor/bypassunit.go:14 created by processor.(\*processor).bypassEl processor/bypassunit.go:16

goroutine 23 [chan send]: processor.(\*processor).bypassEl.func1 processor/bypassunit.go:14 created by processor.(\*processor).bypassEl processor/bypassunit.go:16

goroutine 24 [chan send]: processor.(\*processor).prgQElement.func1 processor/programqueue.go:42 created by processor.(\*processor).prgQElement processor/programqueue.go:44

```
goroutine 25 [chan send]:
processor.(*processor).prgQElement.func1
processor/programqueue.go:42
created by processor.(*processor).prgQElement
processor/programqueue.go:44
```

```
goroutine 26 [chan send]:
processor.(*processor).adderUnit.func1
processor/adder.go:17
created by processor.(*processor).adderUnit
processor/adder.go:19
```
goroutine 27 [chan receive]: processor.(\*processor).adderUnit.func2 processor/adder.go:23 created by processor.(\*processor).adderUnit processor/adder.go:43

```
goroutine 28 [chan receive]:
processor.(*processor).logicUnit.func1
processor/logicunit.go:16
created by processor.(*processor).logicUnit
processor/logicunit.go:19
```
goroutine 29 [chan receive]: processor.(\*processor).logicUnit.func2 processor/logicunit.go:23 created by processor.(\*processor).logicUnit processor/logicunit.go:33

goroutine 30 [chan receive]: processor.(\*processor).shifterUnit.func1 processor/shiftUnit.go:16 created by processor.(\*processor).shifterUnit processor/shiftUnit.go:19

goroutine 31 [chan receive]: processor.(\*processor).shifterUnit.func2 processor/shiftUnit.go:24 created by processor.(\*processor).shifterUnit processor/shiftUnit.go:34

```
goroutine 32 [chan receive]:
memory.(*memoryArray).ReadWritePort.func1
memory/memory.go:79
created by memory.(*memoryArray).ReadWritePort
memory/memory.go:130
```
goroutine 33 [chan receive]: processor.(\*processor).memoryUnit.func1 processor/memoryunit.go:39 created by processor.(\*processor).memoryUnit processor/memoryunit.go:70

goroutine 34 [chan receive]: processor.(\*processor).memoryUnit.func2 processor/memoryunit.go:77 created by processor.(\*processor).memoryUnit processor/memoryunit.go:102

goroutine 35 [chan receive]: processor.(\*processor).branchUnit.func1 processor/branchunit.go:21

```
created by processor.(*processor).branchUnit
processor/branchunit.go:24
```

```
goroutine 36 [chan receive]:
processor.(*processor).branchUnit.func2
processor/branchunit.go:29
created by processor.(*processor).branchUnit
processor/branchunit.go:57
```

```
goroutine 37 [chan send]:
processor.(*processor).retireUnit.func1
processor/retire.go:34
created by processor.(*processor).retireUnit
processor/retire.go:36
```

```
goroutine 38 [chan send]:
processor.(*processor).retireUnit.func2
processor/retire.go:122
created by processor.(*processor).retireUnit
processor/retire.go:125
```
#### <span id="page-51-0"></span>**A.2 Unit Test for RISC-V Processors**

```
2017/06/06 11:16:44 Memdump file opened
2017/06/06 11:16:44 Memory model created from file
2017/06/06 11:16:44 Processor model instantiated
2017/06/06 11:16:44 Simulation started
lui..OK
auipc..OK
j..OK
jal..OK
jalr..OK
beq..OK
bne..OK
blt..OK
bge..OK
bltu..OK
bgeu..OK
```
lb..OK lh..OK lw..OK lbu..OK lhu..OK sb..OK sh..OK sw..OK addi..OK slti..OK xori..OK ori..OK andi..OK slli..OK srli..OK srai..OK add..OK sub..OK sll..OK slt..OK xor..OK srl..OK sra..OK or..OK and..OK simple..OK DONE 2017/06/06 11:16:44 Finishing Simulation 2017/06/06 11:16:44 Decoded: 14729 instructions 2017/06/06 11:16:44 Inserted: 17285 bubbles 2017/06/06 11:16:44 Retired: 25424 instructions 2017/06/06 11:16:44 Cancelled: 6588 instructions

### <span id="page-52-0"></span>**A.3 Tower of Hanoi**

2017/06/06 16:26:09 Memdump file opened 2017/06/06 16:26:09 Memory model created from file 2017/06/06 16:26:09 Processor model instantiated

2017/06/06 16:26:09 Simulation started init Hanoi Resolving Hanoi 0: Move from 0 to 2 1: Move from 0 to 1 2: Move from 2 to 1 3: Move from 0 to 2 4: Move from 1 to 0 5: Move from 1 to 2 6: Move from 0 to 2 7: Move from 0 to 1 8: Move from 2 to 1 9: Move from 2 to 0 10: Move from 1 to 0 11: Move from 2 to 1 12: Move from 0 to 2 13: Move from 0 to 1 14: Move from 2 to 1 15: Move from 0 to 2 16: Move from 1 to 0 17: Move from 1 to 2 18: Move from 0 to 2 19: Move from 1 to 0 20: Move from 2 to 1 21: Move from 2 to 0 22: Move from 1 to 0 23: Move from 1 to 2 24: Move from 0 to 2 25: Move from 0 to 1 26: Move from 2 to 1 27: Move from 0 to 2 28: Move from 1 to 0 29: Move from 1 to 2 30: Move from 0 to 2 31: Move from 0 to 1 32: Move from 2 to 1 33: Move from 2 to 0 34: Move frrm 1 to 0 35: Move from 2 to 1 36: Move from 0 to 2





117: Move from 2 to 0 118: Move from 1 to 0 119: Move from 1 to 2 120: Move from 0 to 2 121: Move from 0 to 1 122: Move from 2 to 1 123: Move from 0 to 2 124: Move from 1 to 0 125: Move from 1 to 2 126: Move from 0 to 2 Solved Hanoi :) 2017/06/06 16:26:15 Finishing Simulation 2017/06/06 16:26:15 Decoded: 154943 instructions 2017/06/06 16:26:15 Inserted: 145835 bubbles 2017/06/06 16:26:15 Retired: 174856 instructions 2017/06/06 16:26:15 Cancelled: 125920 instructions